

**The Bucharest Stock Exchange (BVB)  
The Financial Supervisory Authority (ASF)**

**Current Report**

**in accordance with Regulation No. 5/2018 and Law no. 24/2017 on issuers of financial instruments  
and market operations**

Date: **January 18, 2021**

Issuing entity: **The STK Emergent alternative investment fund managed by STK Financial AFIA SA**

ASF Decision no: **20/16.03.2006**

Registration no with the ASF Register: **CSC08FIIR/120004**

AIFM: **STK Financial AFIA SA**

Registered office: **Romania, 400423 Cluj - Napoca, 3 Mihai Veliciu Street, County of Cluj**

Phone and fax: **004 0264 591982**

Tax no: **17772595**

Trade Registry no: **J12/2600/2005**

AIFM share capital: **RON 913,724**

The regulated market on which fund units are traded: **The Bucharest Stock Exchange**

**Important events to report:**

**Change of Fund's management company name from STK Financial Societate de Administrare a Investițiilor SA into STK Financial AFIA SA**

STK Financial AFIA SA authorized as an AIFM by Authorization no. 94/ March 28, 2018, registered with the A.S.F. under no. PJR07<sup>1</sup>AFIAA/120008 acting as an AIFM of the **alternative investment fund STK Emergent**, registered with the ASF Register under No CSC08FIIR/120004, announces the investors that during the Extraordinary General Meeting from November 6, 2020 the shareholders of STK FINANCIAL SAI SA unanimously approved:

- the winding-up of the investment management company (SAI) activities, i.e. the company will no longer manage UCITS established in Romania or in another Member State.
- the change of the company's name (i.e. eliminating the phrase "SAI" or "investment management company"),
- modification of the company's object of activity which **includes only the management of alternative investment funds** in accordance with the provisions of Law no. 74/2015 regarding the AIFMs and of the Authorization no. 94 / March 28, 2018 issued by A.S.F,
- change of company's logo.

**The new name of the company is STK FINANCIAL AFIA SA and the company continues to manage alternative investment funds (AIFs).**

The changes mentioned above were authorized by the Financial Supervisory Authority and were registered with the Trade Register Office of Cluj Court of Law.

**Best regards,**

**PASCU Nicolae  
Managing Director**

**POLOSAN Georgeta-Cornelia  
Compliance Officer**